

Proof complexity of deep inference: a survey

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Invited talk at LCC '16

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Marseilles

Disclaimers about this talk

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- **Not mainstream** proof complexity.

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- There will be **pictures**.
- A deep area with plenty of **open problems**.

Preliminaries and motivation

Quasipolynomial-time cut-elimination

Proof complexity of minimal deep inference

A case study: the pigeonhole principle

Other results and current work

Conclusions

Proof complexity

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- Proof systems can be compared by **polynomial simulation**.
- This induces a complexity-theoretic **hierarchy** of proof systems.
- \rightsquigarrow subproblems of **coNP** vs. **NP**.

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Cut-free LK
Tableaux

Hilbert-Frege
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The limits of our knowledge...

Exponential
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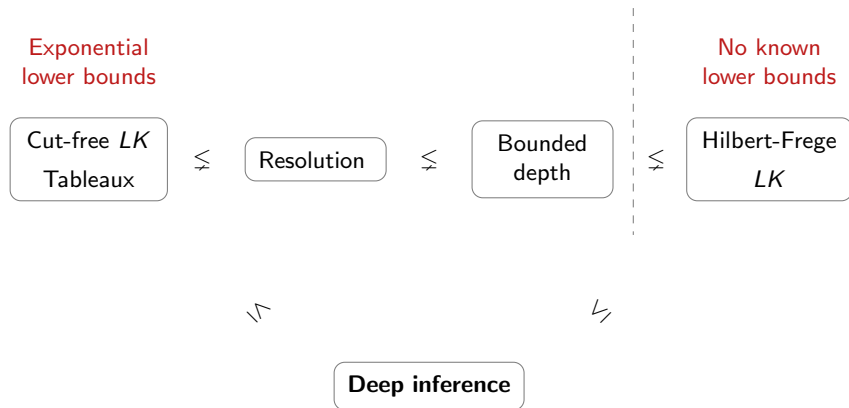
Bounded
depth

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- Analytic systems for **more logics**.
- Inference steps can be **local**.
- Systems have **smaller proofs**.

The system SKS for propositional logic:

$$\text{i}\uparrow \frac{A \wedge \bar{A}}{\perp}$$

$$\text{w}\uparrow \frac{A}{\top}$$

$$\text{c}\uparrow \frac{A}{A \wedge A}$$

$$\text{s} \frac{A \wedge (B \vee C)}{(A \wedge B) \vee C}$$

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- Proofs are obtained by **sequential** and **parallel** composition:

$$\Phi, \Psi ::= A \mid \Phi \star \Psi \mid \rho \frac{\Phi}{\Psi}$$

where $\frac{\text{cn}(\Phi)}{\text{pr}(\Psi)}$ is an instance of the rule ρ .

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- Proofs without $i\uparrow$ and $w\uparrow$ are considered **analytic**.

An example derivation

$$\begin{array}{c}
 c\uparrow \frac{p}{p \wedge p} \vee w\uparrow \frac{\perp}{p} \\
 \qquad \qquad \qquad c\uparrow \frac{p}{p \wedge p} \\
 \hline
 m \\
 c\downarrow \frac{p \vee p}{p} \wedge c\downarrow \frac{p \vee p}{p} \\
 \qquad \qquad \qquad \perp \\
 p \vee w\downarrow \frac{\perp}{q} \\
 \hline
 s \\
 c\uparrow \frac{p}{p \wedge p} \vee q \wedge w\uparrow \frac{p}{\top} \\
 \qquad \qquad \qquad = \frac{q}{q}
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- Logical rules are **linear**, so all steps are **local**.
- **Slogan**: Deep inference simultaneously optimises **checking complexity** and **communication complexity**.

A useful abstraction of proofs

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Definition

The **atomic flow** of a proof is the graph obtained by **tracing the path** of each atom through the proof, designating **nodes at structural steps**.

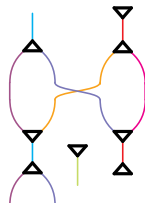
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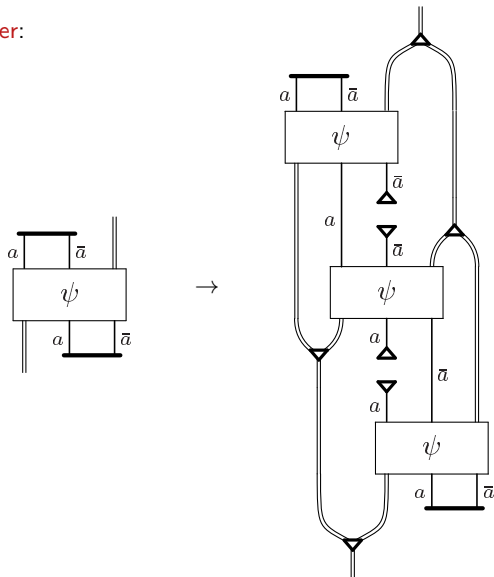
$$\begin{array}{c}
 \frac{c\uparrow \frac{p}{p \wedge p} \vee \frac{w\uparrow \frac{\perp}{p}}{c\uparrow \frac{p \wedge p}}{p \wedge p}}{m} \\
 \frac{c\downarrow \frac{p \vee p}{p} \wedge c\downarrow \frac{p \vee p}{p}}{=} \\
 \frac{p \vee w\downarrow \frac{\perp}{q}}{s} \\
 \frac{c\uparrow \frac{p}{p \wedge p} \vee \frac{q \wedge w\uparrow \frac{p}{\top}}{q}}{=}
 \end{array}$$



Cut-elimination via flows (Guglielmi & Gundersen '08)

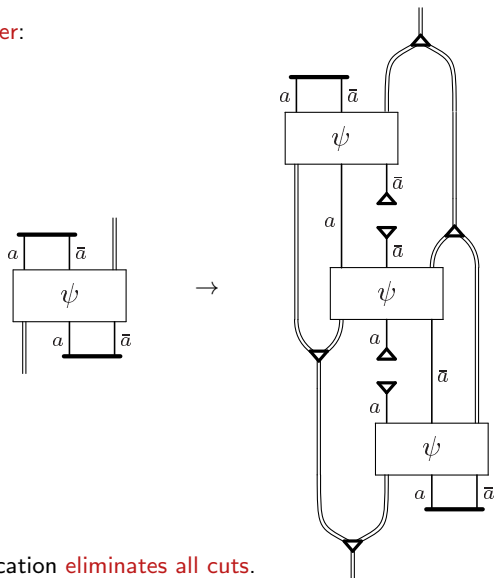
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The **path breaker**:



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Recursive application **eliminates all cuts**.

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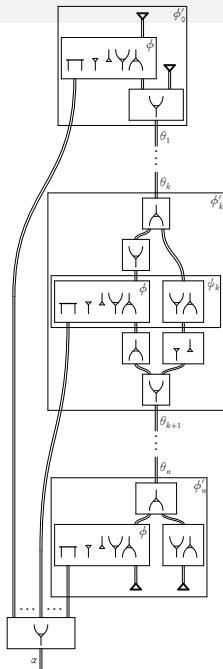
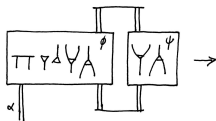
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Doing better than the pathbreaker

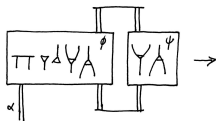
Doing better than the pathbreaker

We can obtain a **length-width tradeoff**:

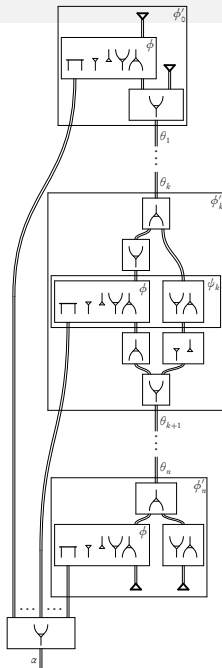


Doing better than the pathbreaker

We can obtain a **length-width tradeoff**:



We rely on a logical **catalyst**...



Formulae for counting

Definition (Threshold functions)

The Boolean functions $TH_k^n : \{0, 1\}^n \rightarrow \{0, 1\}$ are defined by

$$\sigma \mapsto 1 \quad \text{if} \quad \sum_{i=1}^n \sigma_i \geq k \quad .$$

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- Unfortunately **too big**: they have size $\approx 2^n$.

Formulae for counting

However, notice the following identity:

$$TH_k^{2n}(\mathbf{a}, \mathbf{b}) = \bigvee_{i=0}^k TH_i^n(\mathbf{a}) \wedge TH_{k-i}^n(\mathbf{b})$$

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This yields formulae of **depth** $O(\log n)$ using **gates of size** k .

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Theorem

The following have $n^{O(\log n)}$ -size derivations in $\{\mathbf{w}\uparrow, \mathbf{w}\downarrow, \mathbf{s}\}$:

$$\begin{array}{ccc} \top & th_{n+1}^n(\mathbf{a}) & th_k^n(\cdots \perp \cdots) \\ (1) \parallel & (2) \parallel & (3) \parallel \\ th_0^n(\mathbf{a}) & \perp & th_{k+1}^n(\cdots \top \cdots) \end{array}$$

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Proof.

(1) and (2) are simple. (3) requires a bit of work...



Increasing thresholds: proof of (3)

Proof is by induction on n

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$$\begin{aligned} & \frac{th_k^{2n}(\dots \perp \dots, \mathbf{b})}{=} \\ & \frac{\bigvee_{i=0}^k \left(\begin{array}{c} th_i^n(\dots \perp \dots) \\ IH \parallel \\ th_{i+1}^n(\dots \top \dots) \end{array} \wedge th_{k-i}^n(\mathbf{b}) \right) \vee w \downarrow \frac{\perp}{th_0^n(\dots \top \dots) \wedge th_{k+1}^n(\mathbf{b})}}{=} \\ & \frac{}{th_{k+1}^{2n}(\dots \top \dots, \mathbf{b})} \end{aligned}$$

Increasing thresholds: proof of (3)

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(NB: Notice the crucial use of **deep inference!**)

Lemma

There is a quasipolynomial transformation of the following format,

$$\begin{array}{c} \pi \\ \parallel \\ \text{SKS} \\ \tau \end{array} \rightarrow \begin{array}{c} th_k^n(\mathbf{a}) \\ T_k \pi \\ \parallel \\ \text{cut-free} \\ \tau \vee th_{k+1}^n(\mathbf{a}) \end{array}$$

Main result

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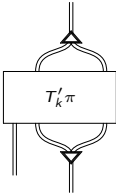
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with flows of *constant length*.

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Theorem (Jeřábek '09, Bruscoli et al. '10)

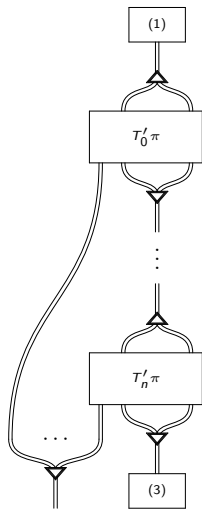
SKS has quasipolynomial-time cut-elimination.

Proof of main result

$$\begin{aligned}
 & \begin{array}{c}
 (1) \parallel \\
 \mathbf{th}_0^n(\mathbf{a}) \\
 T_0\pi \parallel \\
 \mathbf{th}_1^n(\mathbf{a}) \\
 T_1\pi \parallel \\
 \vdots \\
 T_n\pi \parallel \\
 \mathbf{th}_{n+1}^n(\mathbf{a}) \\
 \perp \parallel \\
 (3)
 \end{array} \\
 = & \left[\begin{array}{c} \tau \vee \\ \left[\begin{array}{c} \tau \vee \\ \dots \\ \left[\begin{array}{c} \tau \vee \\ \mathbf{th}_{n+1}^n(\mathbf{a}) \\ \perp \end{array} \right] \\ \dots \end{array} \right] \end{array} \right] \\
 & \underbrace{\tau \vee \dots \vee \tau}_{n \cdot \text{c}\downarrow} \\
 & \tau
 \end{aligned}$$

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$$\begin{array}{c}
 \left[\begin{array}{c} \tau \vee \\ \left[\begin{array}{c} \tau \vee \\ \dots \\ \left[\begin{array}{c} \tau \vee \\ \tau \vee \end{array} \right] \\ \dots \\ \tau \vee \end{array} \right] \\ \dots \\ \tau \vee \end{array} \right] \\
 \hline
 n \cdot c \downarrow \frac{\tau \vee \dots \vee \tau}{\tau}
 \end{array}
 \begin{array}{c}
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 T_0 \pi \parallel \\
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 \vdots \\
 T_n \pi \parallel \\
 th_{n+1}^n(\mathbf{a}) \\
 \parallel \\
 (3) \perp
 \end{array}
 \end{array}
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Analytic subsystems of deep inference

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Definition

- $KS^+ := SKS \setminus \{i\uparrow\}$. (**cut-free** deep inference)
- $KS := SKS \setminus \{i\uparrow, w\uparrow, c\uparrow\}$. (**minimal** deep inference)

- KS^+ is quite powerful: almost as strong as Hilbert-Frege systems.

From KS^+ to KS

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- What about KS ?

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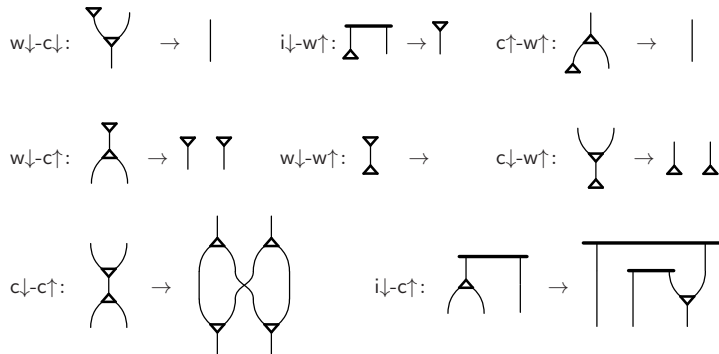
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- We consider the question of KS^+ vs. KS via **normalisation procedures**.
- In particular, we rely on **local graph rewriting** on atomic flows...

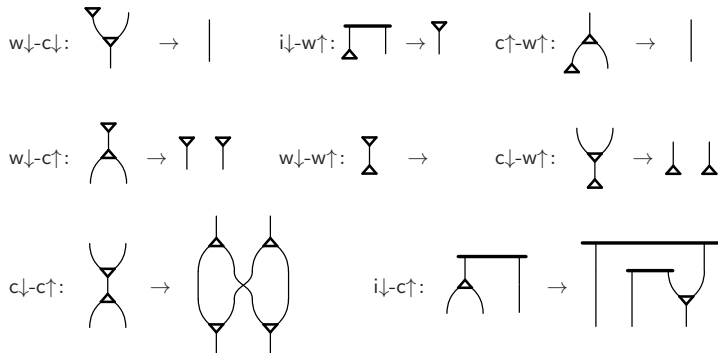
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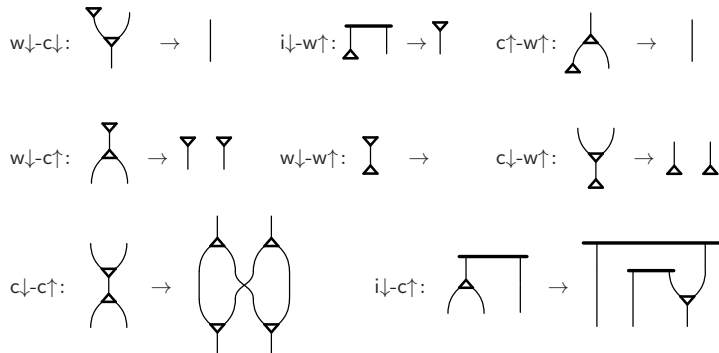


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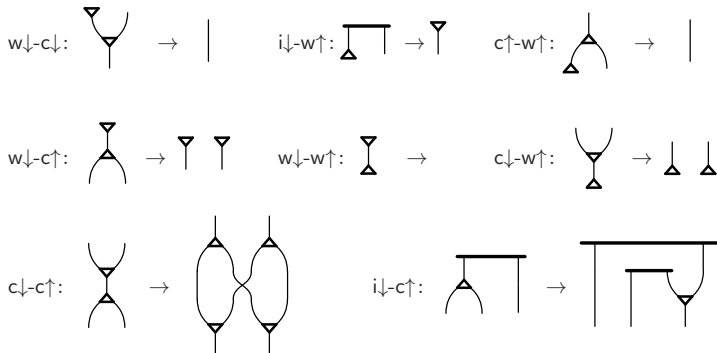


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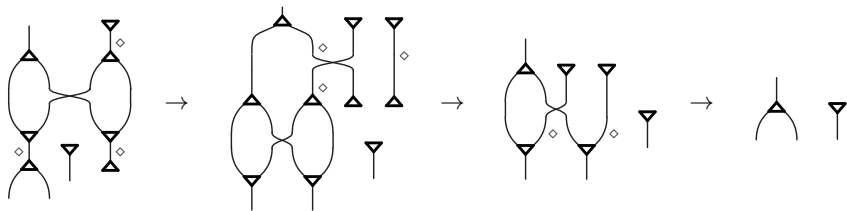
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- 3 Complexity is *polynomial time* in *number of paths* of an input flow.

Example of a flow reduction

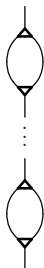


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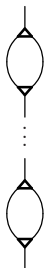
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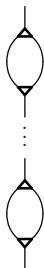
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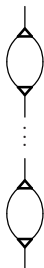
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- **NB:** Quasipolynomial-time normalisation uses **flows of length** $O(n)$.

Preliminaries and motivation

Quasipolynomial-time cut-elimination

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Other results and current work

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$$\text{PHP}_n^m := \bigwedge_{i=1}^m \bigvee_{j=1}^n p_{ij} \rightarrow \bigvee_{j=1}^n \bigvee_{i \neq i'} (p_{ij} \wedge p_{i'j})$$

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Theorem (D. '14)

There are quasipolynomial-size proofs of PHP_n^{n+1} in KS .

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Question

What is the size of proofs of $th_k^n(\mathbf{a}) \rightarrow th_k^n(\sigma(\mathbf{a}))$?

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- (1) and (3) have simple proofs with flows of **constant length**. We focus on (2).
- In particular we formulate **divide-and-conquer** inductions to **control the length** of atomic flows.

Decomposition of matrix transposition

- The permutation of (2) corresponds to the **transposition** of a matrix.

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Observation

If \mathbf{B} and \mathbf{C} are matrices of equal dimensions, then

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- To achieve the full transposition from these, we need to **interleave** the rows of these two matrices.

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Lemma

There are KS-derivations of $th_k^n(\mathbf{a}, \mathbf{b}, \mathbf{c}, \mathbf{d}) \rightarrow th_k^n(\mathbf{a}, \mathbf{c}, \mathbf{b}, \mathbf{d})$ of size $n^{O(\log n)}$.

Main results

Theorem

There are KS^+ proofs of $th_k^n(\mathbf{A}) \rightarrow th_k^n(\mathbf{A}^\top)$ of size $n^{O(\log n)}$ and whose flows have length $O(\log^2 n)$.

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Corollary

There are KS proofs of PHP_n^m of size quasipolynomial in m and n .

Further results

From previously known lower bounds, we obtain the following:

Corollary

KS is *exponentially separated* from:

- *Cut-free sequent calculi, tree-like or dag-like.*
- *All variants of Resolution systems.*
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This is the first time developments in deep inference yielded **improved bounds** for mainstream proof complexity.

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*Dag-like cut-free sequent calculus with **elimination rules**,*

$$\frac{\Gamma, \perp}{\Gamma} \quad \frac{\Gamma, A \vee B}{\Gamma, A, B} \quad \frac{\Gamma, A_1 \wedge A_2}{\Gamma, A_i}$$

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Open problem

Does $d\text{-KS}$ (quasi)polynomially simulate KS for some d ?

How does KS compare with other systems?

Relative complexity of KS

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KS *vs. bounded-depth Hilbert-Frege systems, in general.*

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\rightsquigarrow more **simulations**, superpolynomial **lower bounds**,... ?

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What lies ahead...

- **Tighter integration** with *structural* proof theory.
- Calibration with powerful tools from **combinatorics**.

The current situation

Exponential
lower bounds

Cut-free *LK*
Tableaux

∇

Resolution

∇

Bounded
depth

∇

No known
lower bounds

Hilbert-Frege
LK



The current situation

