

# Counting in Team Semantics

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Bring together two threads of research in logic.

- Counting constructs in logic.
- Logics of dependence and independence, based on team semantics.

We introduce two kinds of counting operators for team semantics.

- forking
- counting quantifiers

Explore the expressive power of logics extended with these operators.

**Main result:** Inclusion logic extended with counting quantifiers is equal to fixed-point logic with counting.

Counting is an important task in numerous applications, but with a somewhat delicate relationship to logic.

Already **elementary cardinality statements** are **not expressible** in FO, or its extension with fixed-points (LFP).

**EVEN** :=  $\{\mathfrak{A} : \text{the structure } \mathfrak{A} \text{ has an even number of elements}\}$

This motivated **fixed-point logic with counting** (FPC).

FPC is of fundamental importance in **descriptive complexity theory**.

Reference in the quest for a logic that **captures PTIME**. It already captures PTIME on many important classes of structures.

**Hodges (1997)**: provided a **model-theoretic (compositional) semantics** for the independence friendly logic IF.

Dependency statements such as *x depends on y* or that *x and y are independent* do not make much sense for single assignments.

Formulae  $\psi(x_1, \dots, x_k)$  are not evaluated on a single assignment  $s : \{x_1, \dots, x_k\} \rightarrow A$ , but on a **set of such assignments**, called a team.

$\mathfrak{A} \models_X \varphi(\bar{x})$  :  $\varphi$  is true in the structure  $\mathfrak{A}$  for the team  $X$

**Väänänen (2007)**: formalized dependencies as **atomic statements** on teams (rather than by annotations of quantifiers).

For a team  $X$  of assignments  $s : V \rightarrow A$ :

Dependence:  $=(\bar{x}, \bar{y}) \iff (\forall s \in X)(\forall s' \in X)(s(\bar{x}) = s'(\bar{x}) \rightarrow s(\bar{y}) = s'(\bar{y}))$

Inclusion:  $(\bar{x} \subseteq \bar{y}) \iff (\forall s \in X)(\exists s' \in X)(s(\bar{x}) = s'(\bar{y}))$

Exclusion:  $(\bar{x} \mid \bar{y}) \iff (\forall s \in X)(\forall s' \in X)(s(\bar{x}) \neq s'(\bar{y}))$

Independence:  $(\bar{x} \perp \bar{y}) \iff X(\bar{x}\bar{y}) = X(\bar{x}) \times X(\bar{y})$

Here  $X(\bar{x})$  is the set of all values  $s(\bar{x})$  for  $s \in X$ .

# Team Semantics Inductive Definition

- For  $\psi(\bar{y}) \in \text{FO}$  :  $\mathfrak{A} \models_X \psi(\bar{y}) \iff \mathfrak{A} \models_s \psi(\bar{y})$  for all  $s \in X$
- $\mathfrak{A} \models_X \psi \wedge \varphi \iff \mathfrak{A} \models_X \psi$  and  $\mathfrak{A} \models_X \varphi$
- $\mathfrak{A} \models_X \psi \vee \varphi \iff X = Y \cup Z$  such that  $\mathfrak{A} \models_Y \psi$  and  $\mathfrak{A} \models_Z \varphi$
- $\mathfrak{A} \models_X \forall y \psi \iff \mathfrak{A} \models_{X[y \rightarrow A]} \psi$
- $\mathfrak{A} \models_X \exists y \psi \iff \exists F : X \rightarrow \mathcal{P}(A) \setminus \{\emptyset\}$  such that  $\mathfrak{A} \models_{X[y \rightarrow F]} \psi$

For **sentences** we define:  $\mathfrak{A} \models \psi \iff \mathfrak{A} \models_{\{\emptyset\}} \psi$

Notice that we cannot reasonably replace  $\{\emptyset\}$  by  $\emptyset$ , since the empty team satisfies all formulae:  $\mathfrak{A} \models_{\emptyset} \psi$  for all  $\psi$ .

# From Team Semantics to Tarski Semantics

A team  $X$  of assignments  $s : V \rightarrow A$  can be **viewed as relation**  $X \subseteq A^{|V|}$ .

A **formula**  $\psi(\bar{x})$  in a logic with team semantics and vocabulary  $\tau$ , can be translated to a  $\Sigma_1^1$ -**sentence**  $\psi^*(X)$  of vocabulary  $\tau \cup \{X\}$  such that

$$\mathfrak{A} \models_X \psi(\bar{x}) \iff (\mathfrak{A}, X) \models \psi^*(X).$$

The expressive power of a logic  $L$  with team semantics can be understood by describing the equivalent fragment  $F \subseteq \Sigma_1^1$ .

- 1 **Exclusion logic** and **dependence logic** capture precisely the downwards closed  $\Sigma_1^1$ -properties of teams (sentences in which the team predicate appears only negatively).
- 2 **FO + inclusion + exclusion**  $\equiv$  **independence logic**  $\equiv$   $\Sigma_1^1$ . Thus, all NP-properties of teams are definable in independence logic.
- 3 **Galliani, Hella (2013)**: **Inclusion logic**  $\equiv$  **LFP**, so it captures PTIME on all ordered finite structures:

For every  $\varphi(\bar{z}) \in \text{FO}(\subseteq)$  one can construct a formula  $\psi(X, \bar{z}) \in \text{posGFP}$ , and vice versa, such that, for all  $\mathfrak{A}$  and all  $X$

$$\mathfrak{A} \models_X \varphi(\bar{z}) \iff (\mathfrak{A}, X) \models \forall \bar{z} (X\bar{z} \rightarrow \psi(X, \bar{z})).$$

Hence the maximal team satisfying  $\varphi$  coincides with **gfp**( $\psi$ ).

On finite structures the **posGFP-fragment** coincides with **LFP**.



To add counting in a meaningful way, consider **two-sorted structures**

$$\mathfrak{A}^* = \mathfrak{A} \cup (\omega, <, +, \cdot, 0, |A|).$$

What are appropriate counting constructs for logics with team semantics ?

Investigate their **expressive** and **closure properties**.

Can the Galliani-Hella theorem be extended to a characterization of **fixed-point logic with counting** (FPC) by a logic with team semantics ?

# Counting in Team Semantics

The second-order features of teams lead to **rich variety of potential counting constructs**. Consider the majority quantifier  $My\varphi(y, \bar{v})$ .

$G \models_s MyExy \iff s(x)$  is adjacent to at least half of the nodes in  $G$

A team  $X$  of assignments defines a set  $U = X(x) \subseteq V$  of nodes.

Different possible definitions for  $G \models_X MyExy$ :

- 1 every  $u \in U$  is adjacent to at least half of the nodes in  $G$
- 2 every  $u \in U$  is adjacent to same set of at least half of the nodes in  $G$
- 3  $U$  (as a set) is adjacent to at least half of the nodes in  $G$

With different requirements for  $F$ , all three correspond to semantic rule

$$\mathfrak{A} \models_X My\varphi(y, \bar{v}) \iff \mathfrak{A} \models_{X[y \rightarrow F]} \varphi \text{ for some } F: X \rightarrow \mathcal{P}(A)$$

# Counting in Team Semantics

We explore two counting mechanisms for team semantics:

## Forking atoms:

$$\mathfrak{A}^* \models_X (\bar{x} \triangleleft^{\geq \mu} y) \iff (\forall s \in X) |\{s'(y) : s(\bar{x}) = s'(\bar{x}), s' \in X\}| \geq s(\mu)$$

Count the number of **values** for  $y$  in the assignments that coincide on  $\bar{x}$ .  
Forking atoms  $\bar{x} \triangleleft^{\leq \mu} y$  and  $\bar{x} \triangleleft^{= \mu} y$  are defined analogously.

## Counting quantifiers:

$$\mathfrak{A}^* \models_X \exists^{\geq \mu} x \varphi \iff \mathfrak{A}^* \models_{X[x \rightarrow F]} \varphi \text{ for } F : X \rightarrow \mathcal{P}(A) \text{ with } |F(s)| \geq s(\mu)$$

Extend each assignment  $s \in X$  with at least  $s(\mu)$  values for  $x$ .

All three variants of the majority quantifier for team semantics are definable via counting quantifiers and/or forking.

## Forking atoms:

$$\mathfrak{A}^* \models_X (\bar{x} \triangleleft^{\geq \mu} y) \iff (\forall s \in X) |\{s'(y) : s(\bar{x}) = s'(\bar{x}), s' \in X\}| \geq s(\mu)$$

Generalizes dependence:  $=(\bar{x}, y) \equiv \bar{x} \triangleleft^{\leq 1} y \equiv \bar{x} \triangleleft^{=1} y$

Forking atoms do not take us out of  $\Sigma_1^1$ .

## Closure properties:

$\bar{x} \triangleleft^{\leq \mu} y$  is downwards closed, but not closed under union of teams

$\bar{x} \triangleleft^{\geq \mu} y$  is closed under union of teams, but not downwards closed

$\bar{x} \triangleleft^{= \mu} y$  is neither downwards closed, nor closed under union of teams

**Theorem.**  $\text{FO}(\bar{x} \triangleleft^{\leq \mu} y) \equiv \text{FO}(\text{dep}) \subsetneq \text{FO}(\bar{x} \triangleleft^{= \mu} y) \equiv \text{FO}(\text{indep})$ .

Forking atoms are powerful. Classical notions of dependence and independence reappear in this setting. Forking leads to simple logical definitions of many natural NP-properties (Vertex Cover, Clique).

# Counting Quantifiers and Inclusion

## Counting quantifiers:

$$\mathfrak{A}^* \models_X \exists^{\geq \mu} x \varphi \iff \mathfrak{A}^* \models_{X[x \rightarrow F]} \varphi \text{ for } F : X \rightarrow \mathcal{P}(A) \text{ with } |F(s)| \geq s(\mu)$$

The Galliani-Hella theorem can be **extended for counting**:

For first-order logic with inclusion atoms  $(\bar{x}\bar{\mu} \subseteq \bar{y}\bar{\nu})$  and counting quantifiers  $\exists^{\geq \mu}$ , indeed, it holds that **FO**( $\subseteq, \exists^{\geq \mu}$ )  $\equiv$  **FPC**.

**Theorem.** For every  $\varphi(\bar{x}, \bar{\mu}) \in \text{FO}(\subseteq, \exists^{\geq \mu})$  one can construct a formula  $\psi(X, \bar{x}\bar{\mu}) \in \text{FPC}$ , and vice versa, such that, for all two-sorted structures  $\mathfrak{A}^*$  and all  $X$

$$\mathfrak{A}^* \models_X \varphi(\bar{x}, \bar{\mu}) \iff (\mathfrak{A}^*, X) \models \forall \bar{x}\bar{\mu} (X\bar{x}\bar{\mu} \rightarrow \psi(X, \bar{x}\bar{\mu})).$$

Hence the maximal team satisfying  $\varphi$  coincides with **gfp**( $\psi$ ).

We prove this with a game-based approach.

# Threshold Safety Games and Game Interpretations

**Threshold game:**  $\mathcal{G} = (V, E, \theta : V \rightarrow \omega)$

At position  $v$ , player 0 chooses set  $X \subseteq vE$  such that  $|X| \geq \theta(v)$  and player 1 selects  $x \in X$  from where the game proceeds.

Player who cannot move loses. This means that Player 0 loses at all nodes  $v$  with  $\delta(v) < \theta(v)$  and Player 1 loses at nodes  $v$  with  $\theta(v) = 0$ .

**Threshold safety games:** Infinite plays are won by Player 0

Threshold safety games  $\mathcal{T}(\mathfrak{A}^*, \psi)$ , with a certain trap condition, are model-checking games for both FPC and  $\text{FO}(\subseteq, \exists^{\geq \mu})$ .

Moreover, for every formula  $\psi(\bar{x}, \bar{\mu})$  there is a first-order interpretation  $I_\psi$  that interprets the game  $\mathcal{T}(\mathfrak{A}^*, \psi)$  in  $\mathfrak{A}^*$ .

# Translation between Logics via Interpretation

Winning regions and trap conditions in threshold safety games are definable in FPC, and also in  $\text{FO}(\subseteq, \exists^{\geq \mu})$ .

Translate any  $\psi(\bar{x}, \bar{\mu})$  from  $\text{FO}(\subseteq, \exists^{\geq \mu})$  into FPC:

Take formula from FPC that defines necessary trap condition in threshold safety model-checking game for  $\psi(\bar{x}, \bar{\mu})$ .

Interpretation  $I_\psi$  translates this formula into another FPC formula, that is evaluated in  $\mathfrak{A}^*$  (still describes the trap condition of the game).

Combining this with the definability of the relevant starting positions, we obtain an FPC-formula that is equivalent to  $\psi(\bar{x}, \bar{\mu})$ .

An analogous argument works for translation of FPC to  $\text{FO}(\subseteq, \exists^{\geq \mu})$ .

**Forking** is powerful concept that expresses dependencies itself and can describe many properties more naturally than existing constructs.

**Counting quantifiers** in combination with inclusion logic capture fixed-point logic with counting.

Introduced **threshold safety games** as model checking games.

Framework of two-sorted structures and counting constructs relevant for **multi-team semantics**.

There are **many possibilities for counting mechanisms** over teams.